

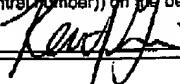
MAY 02 2006

## CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence, totaling 12 pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central Number) on the below date:

Date: May 2, 2006

Name: Kent E. Genin

Signature: 

**BRINKS  
HOFER  
GILSON  
& LIONE**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appn. of: R. Kaminsky et al..

Appln. No.: 09/699,533

Filed: October 30, 2000

For: Automated Trading Exchange System And  
Method Utilizing Randomized Opening  
Procedures

Attorney Docket No: 11927/89

Examiner: N. B. Nguyen

Art Unit: 3628

Mail Stop AF  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

## Attached is/are:

Transmittal (in duplicate); Amendment After Final  
 Return Receipt Postcard

## Fee calculation:

No additional fee is required.  
 Small Entity.  
 An extension fee in an amount of \$\_\_\_\_ for a \_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
 A petition or processing fee in an amount of \$\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
 An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Not a Small Entity	
					Rate	Add'l Fee	or	Rate
Total		Minus			x \$25=			x \$50=
Indep.		Minus			x 100=			x \$200=
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=
					Total	\$	Total	\$

## Fee payment:

A check in the amount of \$\_\_\_\_ is enclosed.  
 Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
 Payment by credit card in the amount of \$\_\_\_\_ (Form PTO-2038 is attached).  
 The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Kent E. Genin (Reg. No. 37,834)

Date

*May 2, 2006*

MAY-02-2006 12:07

BHGL CHICAGO

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312 321 4299

P.02/12

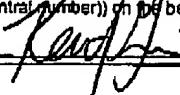
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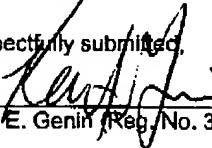
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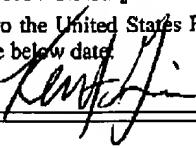
Respectfully submitted,

  
Kent E. Genin (Reg. No. 37,834)May 2, 2006

Date

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Date: May 2, 2006 Name: Kent E. Genin Signature: 

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In re Appln. of: Ross G. KAMINSKY, et al.

Appln. No.: 09/699,533

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EXCHANGE SYSTEM AND  
METHOD UTILIZING  
RANDOMIZED OPENING  
PROCEDURES

Attorney Docket No: 11927/89

Examiner: Nga B. Nguyen

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**AMENDMENT AFTER FINAL**

Sir:

This is in response to the final Office Action mailed on March 9, 2006 setting a shortened statutory response date of three months. As this paper is being filed on May 2, 2006, it is considered timely filed and submitted within two months of the mailing of the final Office Action. Applicant submits the following Amendment and Remarks and respectfully requests the Examiner to reconsider the rejections made in the Action and to allow the application to issue.

MAY-02-2006 12:07

BHGL CHICAGO

312 321 4299 P.04/12

Appln. No. 09/699,533

Attorney Docket No. 11927/89

Please amend the application as follows:

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 3 of this paper.

**Remarks** begin on page 6 of this paper.